

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and fee are being deposited with the United States Postal Service as First Class mail in an envelope addressed to the Commissioner of Patents, Alexandria, VA 22313-1450 on January 30, 2004

Catherine M. Robbins

NAME OF PERSON MAILING PAPER AND FEE

SIGNATURE OF PERSON MAILING PAPER AND FEE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Date: January 30, 2004

K. S. Attwood, et al : IBM Corporation

Serial No. 09/502,478 : Dept. T81/Bldg. 503

Filed: 02/11/2000 : P.O. Box 12195

Title: Technique of Defending Against Network : Research Triangle Park, NC 27709

Connection Flooding Attacks : Art Unit: 2134

RECEIVED

FEB 1 202004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which there may be a duty to disclose in accordance with 37 CF 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97 (d) (e) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in

a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; the Examiner is hereby authorized to charge the Petition Fee of \$130.00 to Deposit Account 09/0461.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted

erry W. Herndon

Attorney for Applicants Registration No. 27,901

ABC/cmr

PHONE: 919-543-9294; FAX: 919-254-4330

IBM Docket No. RSW9-99-129

attachments

FEB 0 3 2004 301

FORM PTO-1449 (Modified)

ÁTTY. DOCKET NO.

SERIAL NO. .

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION

RSW9-99-129

09/502,478

APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

APPLICANT: K. S. Attwood, et al

(Use several sheets if necessary)

FILING DATE:

GROUP:

02/11/2000

2134

REFERENCE	DESIGNATION
-----------	-------------

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA											
	AB									R	ECE	VED
	AC									Ü	rrn 1 0	2004
	AD				Τ						LED I O	2004
	AE									Tec	hnology C	enter 2100

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER										SUBCLASS	TRANSLATION		
								DATE	COUNTRY			CLASS	YES	NO
AL	9	9	4	8	3	0	3	09/23/1999	PCT		H04Q		Yes	
AM														
AN														

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		<del>-</del>

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.